

Review Requirements Checklist Addendum  
EQUITY-INDEX PRODUCTS

These products are commonly known as Equity-Index Products. The form requirements for these types of filings are the same as for any other life insurance and annuity product with the exception of the additional requirements set forth in this checklist. Please see the appropriate checklist for the product being filed. For example, if you are filing an individual annuity contract, you will also need to refer to the “**Individual Annuity**” checklist and if you are filing an individual life insurance policy, you will need to refer to the “**Individual Life**” checklist.

REVIEW REQUIREMENTS	REFERENCES	COMMENTS
<i>Miscellaneous Requirements</i>		
Affidavit	Administrative Letter 2000-10	The Company is subject to the certification of a number of items included on the affidavit. The affidavit needs to be completed and submitted with each filing.
Description		The face page of the policy must state that the policy is an “Equity-Index Product”.
Participation Rate		The initial participation rate and the minimum and maximum participation rates for future periods must prominently be identified.
Illustrations		At least two illustrations showing how the index will affect the policy’s cash value is requested.
Advertising Material		Any advertising material used in marketing Equity-Index Products is requested.

Access to Administrative Letters, Administrative Orders, Regulations and Laws is available at:  
<http://www.scc.virginia.gov/boi/laws.aspx>

The Forms and Rates Section of the Life and Health Division reviews equity-index products. Please contact this section at (804) 371-9110 if you have questions or need additional information about this line of insurance.

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I certify that I have reviewed the attached equity-index product and determined that it is in compliance with the equity-index product checklist.

Signed: \_\_\_\_\_

Name (please print): \_\_\_\_\_

Company Name: \_\_\_\_\_

Date: \_\_\_\_\_ Phone No: ( ) \_\_\_\_\_ FAX No: ( ) \_\_\_\_\_

E-Mail Address: \_\_\_\_\_