

COMMONWEALTH OF VIRGINIA  
STATE CORPORATION COMMISSION

AT RICHMOND, APRIL 28, 2022

CLERK'S OFFICE  
SECURITIES CONTROL CENTER

2022 APR 28 A 11: 48

IN THE MATTER OF

JON MARK DABAREINER

CASE NO. SEC-2018-00040

UNDER THE SECURITIES ACT OF VIRGINIA

FINAL ORDER

On September 17, 2018, the State Corporation Commission ("Commission") entered an Order Imposing Special Supervisory Procedures ("Order") in this case containing terms and requirements regarding the supervision and review of certain of the Applicant, Jon Mark Dabareiner's, ("Applicant") activities, as enumerated therein. The Commission's Division of Securities and Retail Franchising has advised the Commission that the Applicant and his employing investment advisory firm, Lombard Advisers, Inc. ("Lombard"), have fulfilled the requirements of the Order.

NOW THE COMMISSION, having considered this matter, is of the opinion and finds that the Applicant and Lombard have fulfilled the requirements of the Order and that this case should be dismissed.

Accordingly, IT IS ORDERED THAT:

- (1) This case is dismissed.
- (2) Entry of this Final Order shall not affect any duty or obligation to disclose the existence or nature of this matter or of any order entered herein.

A COPY hereof shall be sent by the Clerk of the Commission by electronic mail to:  
Jon Mark Dabareiner at [jon@dabareinerwealth.com](mailto:jon@dabareinerwealth.com), 1068 Juniper Road, Hardy, Virginia 24101;  
Benjamin Rottenborn, Esquire, Counsel for Jon Mark Dabareiner, at

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brottenborn@woodsrogers.com, Woods Rogers, PLLC, 10 South Jefferson Street, Suite 1400, Roanoke, Virginia 24011; and Curtis Fox, Chief Compliance Officer, Lombard Advisers, Inc., at cfox@golombard.com, 1820 Lancaster Street, Baltimore, Maryland 21231; and a copy shall be delivered to the Commission's Office of General Counsel and the Division of Securities and Retail Franchising.