

**COMMONWEALTH OF VIRGINIA  
STATE CORPORATION COMMISSION  
BUREAU OF INSURANCE  
RICHMOND, VIRGINIA**

**ANNUAL FILING REQUIREMENTS FOR APPROVED SURPLUS LINES COMPANIES**

**FOREIGN SURPLUS LINES COMPANIES:**

The following item is required for submittal by March 1 each year for the Company to maintain its status as an approved surplus lines carrier in the Commonwealth of Virginia. Early filing is appreciated.

**Certificate of Compliance**

Failure to file the items shown above by March 1 may subject the Company to removal from the approved list of surplus lines carriers in Virginia. Annual financial statements and supplemental filings should be submitted to the NAIC in accordance with due dates established by the NAIC. If removed for delinquent filings, the Company may not be eligible for placement on the approved list again until a quarterly statement or an annual statement for a subsequent period is filed and reviewed by the Bureau.

**ALIEN SURPLUS LINES COMPANIES:**

The following items must be filed in this office no later than August 31 each year for the company to maintain its status as an approved surplus lines carrier in the Commonwealth of Virginia:

**Audited/Certified Annual Financial Statements (translated to US dollars \$\$)**

**Trust Account Statement**

**Trust Agreement (if amended from initial filing with the Bureau)**

Failure to make required submissions to the Bureau of Insurance by the deadline will subject the Company to removal from the approved list of surplus lines carriers in Virginia. NO EXTENSIONS BEYOND THIS DEADLINE ARE AUTHORIZED UNDER VIRGINIA CODE SECTION 38.2-4811. If removed for delinquent filings, the Company would not be eligible for placement on the approved list again until an annual statement for a SUBSEQUENT YEAR is filed and reviewed by the Bureau.

<b>Mailing Address:</b> State Corporation Commission Bureau of Insurance, Financial Regulation Division P.O. Box 1157 Richmond, VA 23218	<b>Street Address for Courier Delivery:</b> State Corporation Commission Bureau of Insurance Financial Regulation Division 1 <sup>st</sup> Floor Mailroom 1300 East Main Street Richmond, VA 23219
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